

Appendix 9

Sample/Control Area	Area of Spend	General COVID 19 Emergency Funding/Specific Funding	Value of Spend Value of Spend £mm	Compliance with Corporate Decision Making	Completion and Publication of Delegated Decision Form	Supporting Evidence	Evidence of Ongoing Financial Scrutiny	Internal Audit Coverage 2020/21	Planned Internal Audit Coverage 2021/22
Sample 1	Business Start Up Funding	General Emergency Funding	£0.360m	●	●	●	●	●	●
Sample 2	Food Parcels	General Emergency Funding	£0.812m	●	●	●	●	●	●
Sample 3	Alternative Provision Support	General Emergency Funding	£0.379m	●	●	●	●	●	●
Sample 4	Temporary Mortuary Facilities	General Emergency Funding	£1.062m	●	●	●	●	●	●
Sample 5	PPE	General Emergency Funding	£2.445m	●	●	●	●	●	●
Sample 6	Incentive Payments to Early Years	General Emergency Funding	£0.361m	●	●	●	●	●	●
Sample 7	SEND Transports	General Emergency Funding	£0.800m	●	●	●	●	●	●
Sample 8	Loss of Income (Waste Tonnage)	General Emergency Funding	£0.609m	●	●	●	●	●	●
Sample 9	Loss of Income (Parking)	General Emergency Funding	£0.769m	●	●	●	●	●	●
Sample 10	SWAR	General Emergency Funding	£2.360m	●	●	●	●	●	●
Sample 11	Loss of Income (Registrars)	General Emergency Funding	£0.679m	●	●	●	●	●	●
Sample 12	H&C – Paying on commissioned hours	General Emergency Funding	£0.772m	●	●	●	●	●	●
Sample 13	H&C – Provider sickness costs	General Emergency Funding	£1.368m	●	●	●	●	●	●
Sample 14	H&C - Provider agency costs	General Emergency Funding	£5.372m	●	●	●	●	●	●
Sample 15	H&C – Planned fee uplift	General Emergency Funding	£2.639m	●	●	●	●	●	●
Sample 16	Supplementary Food Supplement	General Emergency Funding	£0.24m	●	●	●	●	●	●
Sample 17	H&C – Provider PPE	General Emergency Funding	£0.497m	●	●	●	●	●	●
Sample 18	H&C – One off PPE Grant	General Emergency Funding	£0.683m	●	●	●	●	●	●
Sample 19	H&C – Net Contributions	General Emergency Funding	£0.315m	●	●	●	●	●	●

Sample/Control Area	Area of Spend	General COVID 19 Emergency Funding/Specific Funding	Value of Spend Value of Spend £mm	Compliance with Corporate Decision Making	Completion and Publication of Delegated Decision Form	Supporting Evidence	Evidence of Ongoing Financial Scrutiny	Internal Audit Coverage 2020/21	Planned Internal Audit Coverage 2021/22
Sample 20	H&C – Provider vaccine costs	General Emergency Funding	£0.500m	●	●	●	●	●	●
Sample 21	H&C – Block Book Beds	General Emergency Funding	£0.143m	●	●	●	●	●	●
Sample 22	H&C – Home Care Providers on Commissioned Hours	General Emergency Funding	£1.195m	●	●	●	●	●	●
Sample 23	H&C – Staffing Costs	General Emergency Funding	£0.420m	●	●	●	●	●	●
Sample 24	MTFS Savings (Families and Communities)	General Emergency Funding	£0.947m	●	●	●	●	●	●
Sample 25	Care Home contingency fund	General Emergency Funding	£6.000m	●	●	●	●	●	●
Sample 26	I Care Subsistence	General Emergency Funding	£0.60m	●	●	●	●	●	●
Sample 27	MTFS Savings (Health and Care)	General Emergency Funding	£0.358m	●	●	●	●	●	●
Sample 28	Infection Control	Infection Control Grant (Tranche 1)	£9.271m	●	●	●	●	●	●
Sample 29	Infection Control	Infection Control Grant (Tranche 1)	£0.640m	●	●	●	●	●	●
Sample 30	Test and Trace	Test and Trace Grant	£3.634m	●	●	●	●	●	●
Sample 31	Infection Control	Infection Control Grant (Tranche 2)	£8.273m	●	●	●	●	●	●
Sample 32	Test and Trace	Contain Outbreak Management Fund	£22.113m	●	●	●	●	●	●
Sample 33	Struggling to Afford Food	DEFRA Grant	£0.823m	●	●	●	●	●	●
Sample 34	Infection Control (Clinically Extremely Vulnerable)	Clinically Extremely Vulnerable	£0.507m	●	●	●	●	●	●
Sample 35	Test and Trace	Test and Trace Grant	£4.599m	●	●	●	●	●	●
Sample 36	Rapid Testing	Adult Social Care Rapid Testing	£2.463m	●	●	●	●	●	●
Sample 37	Workforce Capacity Grant	Workforce Capacity Grant	£1.770m	●	●	●	●	●	●
Sample 38	Home to School Transport	Home to School Transport Grant	£0.440m	●	●	●	●	●	●

Sample/Control Area	Area of Spend	General COVID 19 Emergency Funding/Specific Funding	Value of Spend Value of Spend £mm	Compliance with Corporate Decision Making	Completion and Publication of Delegated Decision Form	Supporting Evidence	Evidence of Ongoing Financial Scrutiny	Internal Audit Coverage 2020/21	Planned Internal Audit Coverage 2021/22
Sample 39	Winter Covid Grant – Struggling to Afford Food	Winter Covid Grant	£2.316m	●	●	●	●	●	●
Sample 40	MTFS Savings (Health and Care)	General Emergency Funding	£4.133m	●	●	●	●	●	●

Key (1)

Green – Controls in place

Amber – Some evidence of controls in place

Red – No evidence of controls in place

Key (2) Audit Coverage

Green – Audit coverage in this specific area.

Amber – General audit coverage through corporate review, continuous control monitoring and cyclical audit programme of financial systems.

Red – No specific audit coverage currently planned.